

# Feedback Control of Dynamic Systems

**Eighth Edition**

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*To Valerie, Daisy, Annika, Davenport, Malahat, Sheila, Nima, and to  
the memory of Gene*



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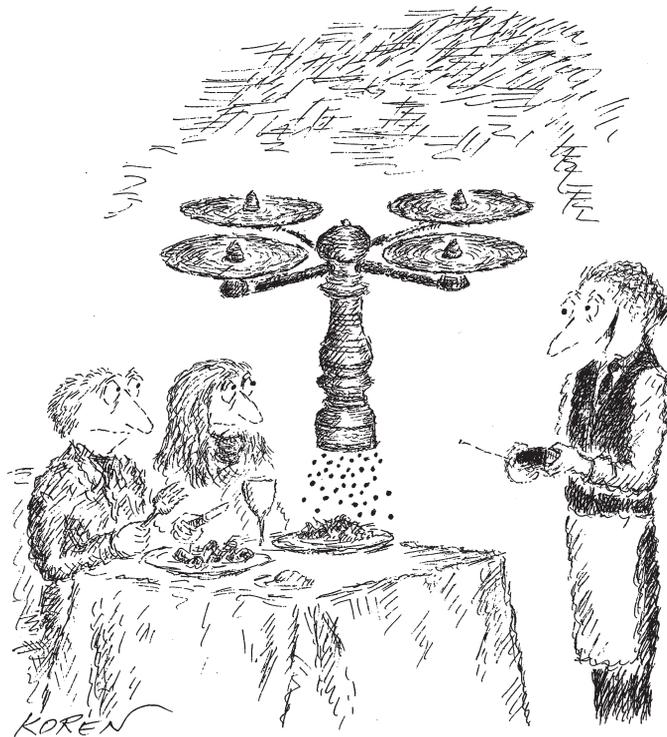
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# Preface

In this Eighth Edition we again present a text in support of a first course in control and have retained the best features of our earlier editions. For this edition, we have responded to a survey of users by adding some new material (for example, drone dynamics and control) and deleted other little-used material from the book. We have also updated the text throughout so that it uses the improved features of MATLAB®. Drones have been discussed extensively in the controls literature as well as the common press. They are being used in mining, construction, aerial photography, search and rescue, movie industry, package delivery, mapping, surveying, farming, animal research, hurricane hunting, and defense. Since feedback control is a necessary component of all the drones, we develop the equations of motion in Chapter 2, and follow that with control design examples in the chapters 5, 6, 7, and 10. They have great potential for many tasks and could speed up and lessen the cost of these activities. The figure below symbolizes the widespread interest in this exciting new field.



*"Fresh pepper?"*

Source: Edward Koren/ The New Yorker © Conde Nast

The basic structure of the book is unchanged and we continue to combine analysis with design using the three approaches of the root locus, frequency response, and state-variable equations. The text continues to include many carefully worked out examples to illustrate the material. As before, we provide a set of review questions at the end of each chapter with answers in the back of the book to assist the students in verifying that they have learned the material.

In the three central chapters on design methods we continue to expect the students to learn how to perform the very basic calculations by hand and make a rough sketch of a root locus or Bode plot as a sanity check on the computer results and as an aid to design. However, we introduce the use of Matlab early on in recognition of the universal use of software tools in control analysis and design. As before, we have prepared a collection of all the Matlab files (both “m” files and SIMULINK<sup>®</sup> “slx” files) used to produce the figures in the book. These are available along with the advanced material described above at our website at [www.FPE8e.com](http://www.FPE8e.com) or at [www.pearsonhighered.com/engineering-resources](http://www.pearsonhighered.com/engineering-resources).

## New to this Edition

We feel that this Eighth Edition presents the material with good pedagogical support, provides strong motivation for the study of control, and represents a solid foundation for meeting the educational challenges. We introduce the study of feedback control, both as a specialty of itself and as support for many other fields.

A more detailed list of the changes is:

- Deleted the disk drive and tape drive examples from Chapters 2, 7, and 10
- Added drone examples and/or problems in Chapters 2, 5, 6, 7, and 10
- Added a thermal system control example to Chapters 2 and 4
- Added a section on anti-windup for integral control in Chapter 9
- Added Cramer’s Rule to chapter 2 and Appendix WB
- Updated Matlab commands throughout the book and in Appendix C
- Updated the section on PID tuning in chapter 4
- Updated the engine control and chemotaxis case studies in Chapter 10
- Over 60 of the problems in this edition are either new or revised from the 7th edition

## Addressing the Educational Challenges

Some of the educational challenges facing students of feedback control are long-standing; others have emerged in recent years. Some of the challenges remain for students across their entire engineering education;

others are unique to this relatively sophisticated course. Whether they are old or new, general or particular, the educational challenges we perceived were critical to the evolution of this text. Here, we will state several educational challenges and describe our approaches to each of them.

- **CHALLENGE** *Students must master design as well as analysis techniques.*

Design is central to all of engineering and especially so to control systems. Students find that design issues, with their corresponding opportunities to tackle practical applications, are particularly motivating. But students also find design problems difficult because design problem statements are usually poorly posed and lack unique solutions. Because of both its inherent importance and its motivational effect on students, design is emphasized throughout this text so confidence in solving design problems is developed from the start.

The emphasis on design begins in Chapter 4 following the development of modeling and dynamic response. The basic idea of feedback is introduced first, showing its influence on disturbance rejection, tracking accuracy, and robustness to parameter changes. The design orientation continues with uniform treatments of the root locus, frequency response, and state variable feedback techniques. All the treatments are aimed at providing the knowledge necessary to find a good feedback control design with no more complex mathematical development than is essential to clear understanding.

Throughout the text, examples are used to compare and contrast the design techniques afforded by the different design methods and, in the capstone case studies of Chapter 10, complex real-world design problems are attacked using all the methods in a unified way.

- **CHALLENGE** *New ideas continue to be introduced into control.*

Control is an active field of research and hence there is a steady influx of new concepts, ideas, and techniques. In time, some of these elements develop to the point where they join the list of things every control engineer must know. This text is devoted to supporting students equally in their need to grasp both traditional and more modern topics.

In each of our editions, we have tried to give equal importance to root locus, frequency response, and state-variable methods for design. In this edition, we continue to emphasize solid mastery of the underlying techniques, coupled with computer-based methods for detailed calculation. We also provide an early introduction to data sampling and discrete controllers in recognition of the major role played by digital controllers in our field. While this material can be skipped to save time without harm to the flow of the text, we feel that it is very important for students to understand that computer control is widely used and that the most basic techniques of computer control are easily mastered.

- **CHALLENGE** *Students need to manage a great deal of information.*

The vast array of systems to which feedback control is applied and the growing variety of techniques available for the solution of control problems means that today's student of feedback control must learn many new ideas. How do students keep their perspective as they plow through lengthy and complex textual passages? How do they identify highlights and draw appropriate conclusions? How do they review for exams? Helping students with these tasks was a criterion for the Fourth, Fifth, Sixth, and Seventh Editions and continues to be addressed in this Eighth Edition. We outline these features below.

#### FEATURE

1. *Chapter openers* offer perspective and overview. They place the specific chapter topic in the context of the discipline as a whole, and they briefly overview the chapter sections.
2. *Margin notes* help students scan for chapter highlights. They point to important definitions, equations, and concepts.
3. *Shaded highlights* identify key concepts within the running text. They also function to summarize important design procedures.
4. *Bulleted chapter summaries* help with student review and prioritization. These summaries briefly reiterate the key concepts and conclusions of the chapter.
5. *Synopsis of design aids*. Relationships used in design and throughout the book are collected inside the back cover for easy reference.
6. *The color blue* is used (1) to highlight useful pedagogical features, (2) to highlight components under particular scrutiny within block diagrams, (3) to distinguish curves on graphs, and (4) to lend a more realistic look to figures of physical systems.
7. *Review questions* at the end of each chapter with solutions in the back to guide the student in self-study
8. *Historical perspectives* at the end of each chapter provide some background and color on how or why the material in that particular chapter evolved.

- **CHALLENGE** *Students of feedback control come from a wide range of disciplines.*

Feedback control is an interdisciplinary field in that control is applied to systems in every conceivable area of engineering. Consequently, some schools have separate introductory courses for control within the standard disciplines and some, such as Stanford, have a single set of courses taken by students from many disciplines. However, to restrict the examples to one field is to miss much of the range and power of feedback but to cover the whole range of applications is overwhelming. In this book, we develop the interdisciplinary nature of the field and

provide review material for several of the most common technologies so that students from many disciplines will be comfortable with the presentation. For Electrical Engineering students who typically have a good background in transform analysis, we include in Chapter 2 an introduction to writing equations of motion for mechanical mechanisms. For mechanical engineers, we include in Chapter 3 a review of the Laplace transform and dynamic response as needed in control. In addition, we introduce other technologies briefly and, from time to time, we present the equations of motion of a physical system without derivation but with enough physical description to be understood from a response point of view. Examples of some of the physical systems represented in the text include a quadrotor drone, a satellite tracking system, the fuel–air ratio in an automobile engine, and an airplane automatic pilot system.

## Outline of the Book

The contents of the printed book are organized into ten chapters and three appendices. Optional sections of advanced or enrichment material marked with a triangle ( $\Delta$ ) are included at the end of some chapters. Examples and problems based on this material are also marked with a triangle ( $\Delta$ ). There are also four full appendices on the website plus numerous appendices that supplement the material in most of the chapters. The appendices in the printed book include Laplace transform tables, answers to the end-of-chapter review questions, and a list of Matlab commands. The appendices on the website include a review of complex variables, a review of matrix theory, some important results related to state-space design, and optional material supporting or extending several of the chapters.

In Chapter 1, the essential ideas of feedback and some of the key design issues are introduced. This chapter also contains a brief history of control, from the ancient beginnings of process control to flight control and electronic feedback amplifiers. It is hoped that this brief history will give a context for the field, introduce some of the key people who contributed to its development, and provide motivation to the student for the studies to come.

Chapter 2 is a short presentation of dynamic modeling and includes mechanical, electrical, electromechanical, fluid, and thermodynamic devices. This material can be omitted, used as the basis of review homework to smooth out the usual nonuniform preparation of students, or covered in-depth depending on the needs of the students.

Chapter 3 covers dynamic response as used in control. Again, much of this material may have been covered previously, especially by electrical engineering students. For many students, the correlation between pole locations and transient response and the effects of extra zeros and poles on dynamic response represent new material. Stability of dynamic

systems is also introduced in this chapter. This material needs to be covered carefully.

Chapter 4 presents the basic equations and transfer functions of feedback along with the definitions of the sensitivity function. With these tools, open-loop and closed-loop control are compared with respect to disturbance rejection, tracking accuracy, and sensitivity to model errors. Classification of systems according to their ability to track polynomial reference signals or to reject polynomial disturbances is described with the concept of system type. Finally, the classical proportional, integral, and derivative (PID) control structure is introduced and the influence of the controller parameters on a system's characteristic equation is explored along with PID tuning methods.

Following the overview of feedback in Chapter 4, the core of the book presents the design methods based on root locus, frequency response, and state-variable feedback in Chapters 5, 6, and 7, respectively.

Chapter 8 develops the tools needed to design feedback control for implementation in a digital computer. However, for a complete treatment of feedback control using digital computers, the reader is referred to the companion text, *Digital Control of Dynamic Systems*, by Franklin, Powell, and Workman; Ellis-Kagle Press, 1998.

In Chapter 9, the nonlinear material includes techniques for the linearization of equations of motion, analysis of zero memory nonlinearity as a variable gain, frequency response as a describing function, the phase plane, Lyapunov stability theory, and the circle stability criterion.

In Chapter 10, the three primary approaches are integrated in several case studies, and a framework for design is described that includes a touch of the real-world context of practical control design.

## Course Configurations

The material in this text can be covered flexibly. Most first-course students in controls will have some dynamics and Laplace transforms. Therefore, Chapter 2 and most of Chapter 3 would be a review for those students. In a ten-week quarter, it is possible to review Chapter 3, and cover all of Chapters 1, 4, 5, and 6. Most optional sections should be omitted. In the second quarter, Chapters 7 and 9 can be covered comfortably including the optional sections. Alternatively, some optional sections could be omitted and selected portions of Chapter 8 included. A semester course should comfortably accommodate Chapters 1–7, including the review materials of Chapters 2 and 3, if needed. If time remains after this core coverage, some introduction of digital control from Chapter 8, selected nonlinear issues from Chapter 9, and some of the case studies from Chapter 10 may be added.

The entire book can also be used for a three-quarter sequence of courses consisting of modeling and dynamic response (Chapters 2

and 3), classical control (Chapters 4–6), and modern control (Chapters 7–10).

Two basic 10-week courses are offered at Stanford and are taken by seniors and first-year graduate students who have not had a course in control, mostly in the departments of Aeronautics and Astronautics, Mechanical Engineering, and Electrical Engineering. The first course reviews Chapters 2 and 3 and covers Chapters 4–6. The more advanced course is intended for graduate students and reviews Chapters 4–6 and covers Chapters 7–10. This sequence complements a graduate course in linear systems and is the prerequisite to courses in digital control, nonlinear control, optimal control, flight control, and smart product design. Some of the subsequent courses include extensive laboratory experiments. Prerequisites for the course sequence include dynamics or circuit analysis and Laplace transforms.

## Prerequisites to This Feedback Control Course

This book is for a first course at the senior level for all engineering majors. For the core topics in Chapters 4–7, prerequisite understanding of modeling and dynamic response is necessary. Many students will come into the course with sufficient background in those concepts from previous courses in physics, circuits, and dynamic response. For those needing review, Chapters 2 and 3 should fill in the gaps.

An elementary understanding of matrix algebra is necessary to understand the state-space material. While all students will have much of this in prerequisite math courses, a review of the basic relations is given in online Appendix WB and a brief treatment of particular material needed in control is given at the start of Chapter 7. The emphasis is on the relations between linear dynamic systems and linear algebra.

## Supplements

The websites [www.FPE8e.com](http://www.FPE8e.com) and [www.pearsonhighered.com/engineering-resources](http://www.pearsonhighered.com/engineering-resources) include the dot-m and dot-slx files used to generate all the Matlab figures in the book, and these may be copied and distributed to the students as desired. The websites also contain some more advanced material and appendices which are outlined in the Table of Contents. A Solutions Manual with complete solutions to all homework problems is available to instructors only.

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Digital Control of Dynamic Systems Franklin & Powell Full description. Modeling and Analysis of Dynamic Systems. Book Description completa.  $\hat{\cdot}$  nonlinearities, hence it is essential that a feedback control system must be able to handle model inaccuracy/uncertainty in terms of robust stability and robust performance.  $\cdot$  Uncertainty/model inaccuracy can be estimated from the Bode plot, but NOT from time domain parameter estimation. Gain uncertainty.